
ENFORCEMENT & LICENSING MODERNIZATION (ELM)



CLAY LEEK
BAR EXECUTIVE OFFICE
BAR ADVISORY GROUP MEETING
APRIL 22, 2021

ELM BACKGROUND

- BreEZe Project
 - Goal of consolidating all Department of Consumer Affairs (DCA) regulatory entities into a single licensing and enforcement system
 - Release 3 (which included BAR) cancelled in January 2015
 - 18 regulatory entities using BreEZe
 - 12 regulatory entities still seeking to modernize business processes, including BAR
 - AB 111 – Modernization Requirements
 - DCA Business Modernization Plan

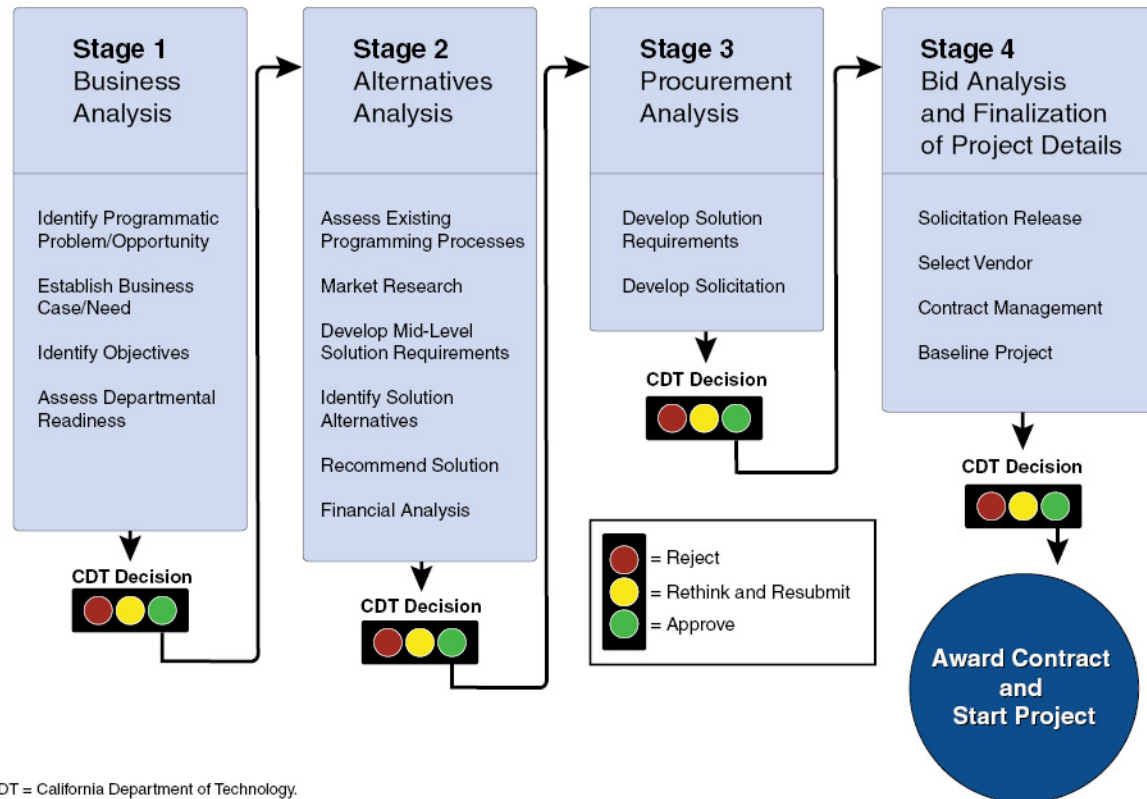
ELM PHASES

- ✓ Phase 1 - Business Readiness
 - Business Process Reengineering (BPR)
- ✓ Phase 2 - System Requirements
 - Mid-Level Solution Requirements
- Phase 3 – California Department of Technology (CDT) Project Approval Lifecycle (PAL)
 - Four stages with approval gates
- Phase 4 - System Implementation
 - Incremental Delivery – No Big Bang!

PHASE 3 – PROJECT APPROVAL LIFECYCLE

Figure 1

New Project Approval Process: Project Approval Lifecycle (PAL)



CDT = California Department of Technology.

ELM PROJECT APPROVAL STATUS

- Stage 1 Business Analysis (S1BA) approved by CDT on 10/29/19
- Stage 2 Alternatives Analysis (S2AA) submitted to CDT on 4/7/20

ELM PROJECT APPROVAL STATUS

- Withdrew S1BA and S2AA on 7/27/20
- Refined project scope
- Revisited viable alternatives
- Revised S1BA and S2AA submitted on 12/10/20
- Stage 3 Procurement Analysis submitted on 03/11/21

SHIFTING LANDSCAPE

- COVID-19
- Increased focus on reducing cost
- Increased focus on minimizing risk
- Budget Letter (BL) 20-11 issued on 4/30/20
 - No new contract unless “directly support teleworking strategies, personal protective equipment and hand sanitizer, or other purchases necessary to reduce the spread of COVID-19, to respond to COVID-19, and to meet other time-sensitive critical needs.”
- Progress of DCA Business Modernization Cohort 1

SHIFTING LANDSCAPE

- DCA Cohort 1
 - Board for Engineers, Land Surveyors, and Geologists
 - Bureau for Private Postsecondary Education
 - Board of Chiropractic Examiners
 - California Architects Board
 - inLumon Software as a Service (SaaS) hosted licensing and enforcement solution procured
 - Successful implementation on 9/16/20
- DCA inLumon enterprise software license agreement and extra environment stacks
- inLumon implementation services have become available through the Department of General Services (DGS) Software Licensing Program (SLP)

WHAT IS NEXT

- Project scope refocused on licensing and externally facing capabilities in alignment with BL 20-11
- Leverage investment made as part of Cohort 1
 - inLumon SaaS solution will meet BAR's business needs
 - Reduced risk
 - Reduced cost
 - Reduced time
- Obtain implementation services through DGS SLP
- Tentative Timeline
 - Project Start (August / September 2021)
 - Project End (May / June 2022)
 - Maintenance and Operations (June 2022 - June 2023)

QUESTIONS AND COMMENTS

Submit questions and/or comments to:

Clay Leek

Bureau of Automotive Repair

10949 N. Mather Boulevard

Rancho Cordova, CA 95670

Phone: 916-403-8600

Email: clayton.leek@dca.ca.gov